



PATENT  
Docket No. 6169-237

GP/2182  
#3  
BT  
01-23-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of NEMOTO

Application No. 09/846,122 Examiner: UNASSIGNED  
Filed: April 30, 2001 Group Art Unit: 2182  
For: METHOD, SYSTEM, AND APPARATUS FOR SPEECH  
RECOGNITION

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on

11/28/01  
Date

Kevin T. Cuenot, Reg. No. 46,283  
Kevin T. Cuenot, Esq.

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing please find:

- ☒ Submission of Supplemental Declaration;
- ☒ Supplemental Declaration;
- ☒ No fees are believed necessary, but please credit any overpayments or debit any under-payments to Deposit Account No. 50-0951; and
- ☒ Return receipt postcard.

Respectfully submitted,

Date: 11/28/01

Kevin T. Cuenot  
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IBM Docket No.: JP9-2000-0040

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Kevin T. Cuenot, Esq.

**SUPPLEMENTAL DECLARATION UNDER 37 C.F.R. § 1.67**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Applicant hereby submits a Supplemental Declaration in accordance with 37 C.F.R. § 1.67 for the above-identified patent application. No fee is believed necessary, but please charge any deficiencies or credit any overpayments to Deposit Account No. 50-0951.

Respectfully submitted,

Date: 11/28/01

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Gregory A. Nelson, Registration No. 30,577  
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Docket No.: 6169-237

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD, SYSTEM AND APPARATUS FOR SPEECH RECOGNITION**

the specification of which (check one)

☒ is attached hereto.  
☐ was filed on April 30, 2001  
under Attorney's Docket Number 6169-237  
as Application Serial No. 09/846,122  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America; listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>2000-133973</u> (Number)	<u>Japan</u> (Country)	<u>05/02/2000</u> (Filing Date)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Filing Date)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

_____ (Appln. Serial No.)	_____ (Filing Date)	_____ (Status)
_____ (Appln. Serial No.)	_____ (Filing Date)	_____ (Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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FULL NAME OF INVENTOR: Kazuo Nemoto

INVENTOR'S SIGNATURE: Kazuo Nemoto DATE: 22 Oct 2001

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